

Introductory sub-table (I)(a)

Name of the Company: PRABHAT SECURITIES LIMITED

Scrip Code, Name of the scrip, class of security: Equity Shares

Quarter ended: 01/07/2012 - 30/09/2012

Partly paid-up shares:- No. of partly paid-up shares paid-up shares As a % of total no. of partly paid-up shares As a % of total no. of shares of the company

Held by promoter/promoter group				
Held by public				
<b>Total - D</b>	<b>0</b>			<b>0</b>

Outstanding convertible securities:- No. of outstanding securities As a % of total no. of outstanding convertible securities As a % of total no. of shares of the company, assuming full conversion of the convertible securities

Held by promoter/promoter group				
Held by public				
<b>Total - E</b>	<b>0</b>			<b>0</b>

Warrants:- No. of warrants As a % of total no. of warrants As a % of total no. of shares of the company, assuming full conversion of warrants

Held by promoter/promoter group				
Held by public				
<b>Total - F</b>	<b>0</b>			<b>0</b>

**Total paid-up capital of the company, assuming full conversion of warrants and convertible securities**

10000070

100



**Statement Showing Shareholding Pattern**

Category of Shareholder	Number of Shares	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Number of Shares Pledged or otherwise encumbered	As a percentage	
				As a percentage of (A+B) <sup>1</sup>	As a percentage of (A+B+C)			
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX) = (VIII)/(VII)*100
(A) Shareholding of Promoter and Promoter 1 Indian								
(a) Individuals/ Hindu Undivided Family								
(b) Central Government/ State Government(s)	12	403000	0	40.30	40.30	0	0.00	
(c) Bodies Corporate				0.00	0.00			
(d) Financial Institutions/ Banks	3	212000	0	21.20	21.20			
(e) Any Others (Specify)				0.00	0.00			
(e-i)				0.00	0.00			
(e-ii)				0.00	0.00			
<b>Sub Total (A)(I)</b>	<b>15</b>	<b>615000</b>	<b>0</b>	<b>61.50</b>	<b>61.50</b>	<b>0</b>	<b>0.00</b>	
<b>2 Foreign</b>								
a Individuals (Non-Residents Individuals/ Foreign Individuals)				0.00	0.00			
b Bodies Corporate				0.00	0.00			
c Institutions				0.00	0.00			
d Any Others (Specify)				0.00	0.00			
d-i				0.00	0.00			
d-ii				0.00	0.00			
<b>Sub Total (A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	
<b>Total Shareholding of Promoter and 1</b>	<b>15</b>	<b>615000</b>	<b>0</b>	<b>61.50</b>	<b>61.50</b>	<b>0</b>	<b>0.00</b>	
<b>(B) Public shareholding</b>								
1 Institutions								
(a) Mutual Funds/ LTI				0.00	0.00			
(b) Financial Institutions/ Banks				0.00	0.00			

*[Handwritten signature]*



Sr.No	Notes
1	
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3	
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5	
6	
7	
8	
9	



(1)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage (VI)=(V/III)*100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	
1	RITIKA SHARMA	39000	3.90		0.00	0.00					
2	RAJESH SHARMA	39000	3.90		0.00	0.00					
3	ANIL KUMAR SHARMA	20000	2.00		0.00	0.00					
4	ASHOK KUMAR SHARMA	49500	4.95		0.00	0.00					
5	VIMAL KUMAR SHARMA	100000	10.00		0.00	0.00					
6	VIMAL KUMAR SHARMA HUF	20000	2.00		0.00	0.00					
7	G. L. SHARMA HUF	20000	2.00		0.00	0.00					
8	G. L. SHARMA	15000	1.50		0.00	0.00					
9	SANTOSH DEVI SHARMA	15000	1.50		0.00	0.00					
10	NIDHI SHARMA	20000	2.00		0.00	0.00					
11	RADHIKA SHARMA	15000	1.50		0.00	0.00					
12	KALICHARAN SHARMA	50500	5.05		0.00	0.00					
13	SANTOSH HOLDINGS PVT LTD	100000	10.00		0.00	0.00					
14	PRABHAT FUNDS AND HOLDING PVT LTD	100000	10.00		0.00	0.00					
15	PRABHAT FINANCIAL SERVICES LTD	12000	1.20		0.00	0.00					
<b>TOTAL</b>		<b>615000</b>	<b>61.50</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011







(D)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares f.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1								
2								
3								
4								
5								
6								
7								
8								
9								
10								
<b>TOTAL</b>		<b>0</b>	<b>0.00</b>	<b>0</b>				<b>0</b>



(D)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}
1			0.00
2			0.00
3			0.00
4			0.00
5			0.00
6			0.00
7			0.00
8			0.00
9			0.00
			0.00
			0.00
			0.00
TOTAL		0	0.00





(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
<b>TOTAL</b>		<b>0</b>	<b>0</b>	<b>0.00</b>



(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
<b>TOTAL</b>			<b>0</b>	<b>0.00</b>

