

Introductory sub-table (I)(a)

Name of the Company: PRABHAT SECURITIES LIMITED

Scrip Code, Name of the scrip, class of security: Equity Shares

Quarter ended: 01/07/2013 - 30/09/2013

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group			
Held by public			
Total - D	0		

Outstanding convertible securities:- No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group		
Held by public		
Total - E	0	

Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group			
Held by public			
Total - F	0		

Total paid-up capital of the company, assuming full conversion of warrants and convertible securities

10000070

100



Statement Showing Shareholding Pattern

Category of Shareholder	Number of Shares	Table (I)(a)			Total shareholding as a percentage of total number of shares		Number of Shares Pledged or otherwise encumbered	As a percentage of (X) = (VIII)/(IV)*100
		Total number of shares	Number of shares held in dematerialized form	As a percentage of (A+B) ¹ (VI)	As a percentage of (A+B+C) (VII)			
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX) = (VIII)/(IV)*100
(A) Shareholding of Promoter and Promoter 1								
1 Indian								
(a) Individuals/ Hindu Undivided Family								
(b) Central Government/ State Government(s)	12	403000	0	40.30	40.30	0		0.00
(c) Bodies Corporate				0.00	0.00			
(d) Financial Institutions/ Banks	3	212000	0	21.20	21.20			
(e) Any Others(Specify)				0.00	0.00			
(e-i)				0.00	0.00			
(e-ii)				0.00	0.00			
Sub Total(A)(1)		15	615000	0	61.50	61.50	0	0.00
2 Foreign								
a Individuals (Non-Residents Individuals/ Foreign Individuals)					0.00	0.00		
b Bodies Corporate					0.00	0.00		
c Institutions					0.00	0.00		
d Any Others(Specify)					0.00	0.00		
d-i					0.00	0.00		
d-ii					0.00	0.00		
Sub Total(A)(2)		0	0	0	0.00	0.00	0	0.00
Total Shareholding of Promoter and		15	615000	0	61.50	61.50	0	0.00
(B) Public shareholding								
1 Institutions								
(a) Mutual Funds/ UTTI					0.00	0.00		
(b) Financial Institutions/ Banks					0.00	0.00		



Sr.No	Notes
1	
2	
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7	
8	
9	



(1)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage (VI)=(VII/III)*100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(i)	(ii)	(iii)	(iv)	(v)	(vi)=(vii/iii)*100	(viii)	(ix)	(x)	(xi)	(xii)	
1	RITIKA SHARMA	39000	3.90		0.00	0.00					
2	RAJESH SHARMA	39000	3.90		0.00	0.00					
3	ANIL KUMAR SHARMA	20000	2.00		0.00	0.00					
4	ASHOK KUMAR SHARMA	49500	4.95		0.00	0.00					
5	VIMAL KUMAR SHARMA	100000	10.00		0.00	0.00					
6	VIMAL KUMAR SHARMA HUF	20000	2.00		0.00	0.00					
7	G. L. SHARMA HUF	20000	2.00		0.00	0.00					
8	G. L. SHARMA	15000	1.50		0.00	0.00					
9	SANTOSH DEVI SHARMA	15000	1.50		0.00	0.00					
10	NIDHI SHARMA	20000	2.00		0.00	0.00					
11	RADHIKA SHARMA	15000	1.50		0.00	0.00					
12	KALI CHARAN SHARMA	50500	5.05		0.00	0.00					
13	SANTOSH HOLDINGS PVT LTD	1000000	10.00		0.00	0.00					
14	PRABHAT FUNDS AND HOLDING PVT LTD	1000000	10.00		0.00	0.00					
15	PRABHAT FINANCIAL SERVICES LTD	12000	1.20		0.00	0.00					
	TOTAL	615000	61.50	0	0.00	0.00	0	0	0	0	

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011



(D)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1								
2								
3								
4								
5								
6								
7								
8								
9								
10								
TOTAL		0	0.00	0				0



(D)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}	PROMOTER / PROMOTER GROUP/ PUBLIC
1			0.00	
2			0.00	
3			0.00	
4			0.00	
5			0.00	
6			0.00	
7			0.00	
8			0.00	
9			0.00	
			0.00	
			0.00	
			0.00	
TOTAL		0	0.00	



(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
TOTAL		0	0	0.00



(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
TOTAL			0	0.00

