

Introductory sub-table (I)(a)

Name of the Company: PRABHAT SECURITIES LIMITED

Scrip Code, Name of the scrip, class of security: Equity Shares

Quarter ended: 01/07/2014 - 30/09/2014

Partly paid-up shares:- No. of partly paid-up shares As a % of total no. of partly paid-up shares As a % of total no. of shares of the company

Held by promoter/promoter group			
Held by public			
Total - D	0		0

Outstanding convertible securities:- No. of outstanding securities As a % of total no. of outstanding convertible securities As a % of total no. of shares of the company, assuming full conversion of the convertible securities

Held by promoter/promoter group			
Held by public			
Total - E	0		0

Warrants:- No. of warrants As a % of total no. of warrants As a % of total no. of shares of the company, assuming full conversion of warrants

Held by promoter/promoter group			
Held by public			
Total - F	0		0

Total paid-up capital of the company, assuming full conversion of warrants and convertible securities

10000070

100



(1)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage $(VI)-(VII)/(VI)*100$	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	
1	RITIKA SHARMA	39000	0.94		0.00					
2	RAJESH SHARMA	39000	0.94		0.00					
3	ANIL KUMAR SHARMA	20000	2.00		0.00					
4	ASHOK KUMAR SHARMA	49500	4.95		0.00					
5	VIMAL KUMAR SHARMA	100000	10.00		0.00					
6	VIMAL KUMAR SHARMA HUF	20000	2.00		0.00					
7	G. L. SHARMA HUF	20000	2.00		0.00					
8	G. L. SHARMA	15000	1.50		0.00					
9	SANTOSH DEVI SHARMA	15000	1.50		0.00					
10	NIDHI SHARMA	20000	2.00		0.00					
11	RADHIKA SHARMA	15000	1.50		0.00					
12	KALI CHARAN SHARMA	50500	5.04		0.00					
13	SANTOSH HOLDINGS PVT LTD	1000000	9.99		0.00					
14	PRABHAT FUNDS AND HOLDING PVT LTD	1000000	9.99		0.00					
15	PRABHAT FINANCIAL SERVICES LTD	12000	1.19		0.00					
	TOTAL	615000	61.50	0	0.00	0	0	0	0	0

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011



(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
TOTAL		0	0	0.00

