



PRABHAT SECURITIES LIMITED

Regd. Off. : 'SATYAM', 4/276, Parwati Bagla Road, Kanpur 208 002

CIN : L22022UP1982PLC005759

Phones : 91-512-3073793 · Fax : 91-512-2526347

Email : prabhatsecurities@gmail.com

21th July, 2015,

To,

Calcutta Stock Exchange
7, Lyons Range, Kolkata,
West Bengal 700001

Ref.: M/s Prabhat Securities Limited

Sub: Share Holding pattern under Clause 35 of Listing Agreement

Dear Sir/Ma'am,

Pursuant to Clause 35 of Listing Agreement, Please find enclosed herewith the Share holding Pattern for the quarter ending July 31, 2015 for your kind perusal.

Kindly acknowledge receipt of the same

Thanking you,

Yours truly,

For **Prabhat Securities Ltd.**

Ms. Divya Gupta
(Company Secretary)

Introductory sub-table (I)(a)

Name of the Company: PRABHAT SECURITIES LIMITED			
Scrip Code, Name of the scrip, class of security: EQUITY SHARES			
Quarter ended: 1st APRIL, 2015 TO 30th JUNE, 2015			
Partly paid-up shares:-			
	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Outstanding convertible securities:-			
	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Warrants:-			
	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities		2900007 Shares of Rs.10/- each	



Statement Showing Shareholding Pattern

Category code	Category of Shareholder	Number of Shareholders	Table (I)(a)		Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
			Total number of shares	Number of shares held in dematerialized form	As a percentage of(A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
			(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	10	283000	283000	9.7586	9.7586	NIL	NIL
(b)	Central Government/ State Government(s)				0.00	0.00		#DIV/0!
(c)	Bodies Corporate				0.00	0.00		#DIV/0!
(d)	Financial Institutions/ Banks				0.00	0.00		#DIV/0!
(e)	Any Others(Specify)				0.00	0.00		#DIV/0!
(e-i)					0.00	0.00		#DIV/0!
(e-ii)					0.00	0.00		#DIV/0!
	Sub Total(A)(1)	10	283000	283000	9.7586	9.7586	NIL	NIL
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)				0.00	0.00		#DIV/0!
b	Bodies Corporate				0.00	0.00		#DIV/0!
c	Institutions				0.00	0.00		#DIV/0!
d	Qualified Foreign Investor				0.00	0.00		#DIV/0!
e	Any Others(Specify)				0.00	0.00		#DIV/0!
e-i					0.00	0.00		#DIV/0!
e-ii								
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	#DIV/0!
	Total Shareholding of Promoter and	10	283000	283000	9.7586	9.7586	NIL	NIL
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI				0.00	0.00		
(b)	Financial Institutions / Banks				0.00	0.00		
(c)	Central Government/ State Government(s)				0.00	0.00		
(d)	Venture Capital Funds				0.00	0.00		
(e)	Insurance Companies				0.00	0.00		
(f)	Foreign Institutional Investors				0.00	0.00		
(g)	Foreign Venture Capital Investors				0.00	0.00		
(h)	Qualified Foreign Investor				0.00	0.00		
(i)	Any Other (specify)				0.00	0.00		
(i-ii)					0.00	0.00		
(i-ii)								
	Sub-Total (B)(1)	0	0	0	0.00	0.00		
B 2	Non-institutions							
(a)	Bodies Corporate	3	212000	212000	7.3103	7.3103		
(b)	Individuals				0.00	0.00		



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I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	496	65407		2.2554	2.2554		
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	32	2339600	1900000	80.6757	80.6757		
(c)	Qualified Foreign Investor				0.0000	0.0000		
(d)	Any Other (specify)				0.0000	0.0000		
(d-i)					0.0000	0.0000		
(d-ii)								
	Sub-Total (B)(2)	531	2617007	2112000	90.2414	90.2414		
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	531	2617007	2112000	90.2414	90.2414		
	TOTAL (A)+(B)	541	2900007	2395000	100.0000	100.0000		
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	NIL	NIL	NIL		NIL		#VALUE!
2	Public	NIL	NIL	NIL		NIL		
	Sub-Total (C)	NIL	NIL	NIL		NIL		
	GRAND TOTAL (A)+(B)+(C)	541	2900007	2395000		100.0000		0.00




Sr.No	Notes
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(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group" Quarter ended 30/06/2015

1 Indian

a. Individual/ indu Undivided Family

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A) +(B) +(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	KALI CHARAN SHARMA	50500	1.7414	NIL	NIL	NIL	NIL	NIL	NIL	NIL	1.7414
2	ASHOK KUMAR SHARMA	49500	1.7069	NIL	NIL	NIL	NIL	NIL	NIL	NIL	1.7069
3	RAJESH SHARMA	39000	1.3448	NIL	NIL	NIL	NIL	NIL	NIL	NIL	1.3448
4	RITIKA SHARMA	39000	1.3448	NIL	NIL	NIL	NIL	NIL	NIL	NIL	1.3448
5	ANIL KUMAR SHARMA	20000	0.6897	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.6897
6	GULIHARI LAL SHARMA HUF	20000	0.6897	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.6897
7	NIDHI SHARMA	20000	0.6897	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.6897
8	RADHIKA SHARMA	15000	0.5172	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.5172
9	GULIHARI LAL SHARMA	15000	0.5172	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.5172
10	SANTOSH DEVI SHARMA	15000	0.5172	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.5172
TOTAL		283000	9.7586	NIL	NIL	NIL	NIL	NIL	NIL	NIL	9.7586

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011



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(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	SIDDARTH GUPTA	450000	15.5172	NIL	NIL	NIL	NIL	15.5172
2	ANSHUMAN KAPUR	200000	6.8965	NIL	NIL	NIL	NIL	6.8965
3	SONALI DIMAI	200000	6.8965	NIL	NIL	NIL	NIL	6.8965
4	DIVYA AGARWAL	200000	6.8965	NIL	NIL	NIL	NIL	6.8965
5	MANOJ AGARWAL	200000	6.8965	NIL	NIL	NIL	NIL	6.8965
6	KAMAL KISHORE SOMANI HUF SOMANI	200000	6.8965	NIL	NIL	NIL	NIL	6.8965
7	DEEPAK KUMAR AGARWAL	100000	3.4483	NIL	NIL	NIL	NIL	3.4483
8	VINAY KUMAR AGARWAL	100000	3.4483	NIL	NIL	NIL	NIL	3.4483
9	SANTOSH MOTELS PRIVATE LIMITED	100000	3.4483	NIL	NIL	NIL	NIL	3.4483
10	PRABHAT COMMODITY BROKERS PRIVATE LIMITED	100000	3.4483	NIL	NIL	NIL	NIL	3.4483
11	BINDU PANDEY	50000	1.7241	NIL	NIL	NIL	NIL	1.7241
12	DEV SHANKER PANDEY	50000	1.7241	NIL	NIL	NIL	NIL	1.7241
13	SHANTI LAL JAIN	50000	1.7241	NIL	NIL	NIL	NIL	1.7241
14	MURARI LAL KABRA	50000	1.7241	NIL	NIL	NIL	NIL	1.7241
15	UMAR FARUKH	45000	1.5517	NIL	NIL	NIL	NIL	1.5517
16	AWDHESH LAL JI MISHRA	42000	1.4483	NIL	NIL	NIL	NIL	1.4483
17	VINOD KUMAR LALPURIA	36000	1.2414	NIL	NIL	NIL	NIL	1.2414
18	SANTOSH KUMAR SINGH	36000	1.2414	NIL	NIL	NIL	NIL	1.2414
19	RAJENDRA PRASAD SHARMA	32000	1.1034	NIL	NIL	NIL	NIL	1.1034
20	AMAR RATHI	30100	1.0379	NIL	NIL	NIL	NIL	1.0379
21	VISHNU KUMAR SOMANI	30000	1.0345	NIL	NIL	NIL	NIL	1.0345
TOTAL		2301100	79.3481	NIL	NIL	NIL	NIL	79.3479



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(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	SIDDARTH GUPTA	450000	15.5172	NIL	NIL	NIL	NIL	15.5172
2	KAMAL KISHOR SOMANI HUF	200000	6.8965	NIL	NIL	NIL	NIL	6.8965
3	ANSHUMAN KAPUR	200000	6.8965	NIL	NIL	NIL	NIL	6.8965
4	SONALI DIMAI	200000	6.8965	NIL	NIL	NIL	NIL	6.8965
5	DIVYA AGARWAL	200000	6.8965	NIL	NIL	NIL	NIL	6.8965
6	MANOJ AGARWAL	200000	6.8965	NIL	NIL	NIL	NIL	6.8965
TOTAL		1450000	49.9999	NIL	NIL	NIL	NIL	49.9997



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(I)(d)

Statement showing details of locked-in shares

Sr. No	FOLIO/CLID/DPID	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	1206120000323941	SANTOSH GUPTA	450000	15.5172
2	1203140000013769	KAMAL KISHORE SOMANI HUF SOMANI	200000	6.8965
3	1207590000003341	ANSHUMAN KAPUR	200000	6.8965
4	1207590000003531	SONALI DIMAI	200000	6.8965
5	1306130000034150	DIVYA AGARWAL	200000	6.8965
6	1306130000034165	MANOJ AGARWAL	200000	6.8965
7	10205580/IN302316	DEEPAK KUMAR AGARWAL	100000	3.4483
8	10317797/IN302316	VINAY KUMAR AGARWAL	100000	3.4483
9	1203140000033761	SHANTI LAL JAIN	50000	1.7241
10	1202890000743466	BINDU PANDEY	50000	1.7241
11	1202890001263201	DEV SHANKER PANDEY	50000	1.7241
12	1203140000002286	MURARI LAL KABRA	50000	1.7241
13	1203140000002330	VISHNU KUMAR SOMANI	30000	1.0345
14	1203660000002686	RAM CHANDRA JAJODIA	20000	0.6897
TOTAL			1900000	65.5171



