

SHAREHOLDING PATTERN

1	Name of Listed Entity: PRABHAT SECURITIES LIMITED
2	Scrip Code - Name of Scrip :- Class of Security Equity
3	Share Holding Pattern Filed under : Reg. 31(1)(b)
	Quarter ending 31st December 2015

4 Declaration-

Particulars		
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in Locked-in?	Yes
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No

5 The disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities as on 31/12/2015

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding as a % of total no. of shares (calculate d as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter group	13	495000	0	0	495000	17.0689	495000	0	495000	17.0689	0	17.0689	0	0.0000	0	0.0000	495000
(B)	Public	528	2405007	0	0	2405007	82.9311	2405007	0	2405007	82.9311	0	82.9311	1900000	79.0018	NA		1980000
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	541	2900007	0	0	2900007	100.0000	2900007	0	2900007	100	0	100.0000	1900000				2475000



PRABHAT SECURITIES LIMITED																				
Table II	Statement Showing shareholding pattern of the Promoter and Promoter Group as on 31/12/2015																			
Category & Name of the Shareholders (I)	PAN	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)			
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)		
								Class x	Class y	Total										
(1) Indian																				
(a) Individuals/Hindu undivided Family		10	283000	0	0	283000	9.7586	283000	0	283000	9.7586	0	9.7586	0	0.0000	0	0.0000	0	0.0000	283000
KALI CHARAN SHARMA	AYDPS7637N	1	50500	0	0	50500	1.7414	50500	0	50500	1.7414	0	1.7414	0	0.0000	0	0.0000	0	0.0000	50500
ASHOK KUMAR SHARMA	ACAPS5502M	1	49500	0	0	49500	1.7069	49500	0	49500	1.7069	0	1.7069	0	0.0000	0	0.0000	0	0.0000	49500
RAJESH SHARMA	AHXPS9141C	1	39000	0	0	39000	1.3448	39000	0	39000	1.3448	0	1.3448	0	0.0000	0	0.0000	0	0.0000	39000
RITIKA SHARMA	CLRPS2467P	1	39000	0	0	39000	1.3448	39000	0	39000	1.3448	0	1.3448	0	0.0000	0	0.0000	0	0.0000	39000
ANIL KUMAR SHARMA	AZZPS7755E	1	20000	0	0	20000	0.6897	20000	0	20000	0.6897	0	0.6897	0	0.0000	0	0.0000	0	0.0000	20000
NIDHI SHARMA	ACHPS6210R	1	20000	0	0	20000	0.6897	20000	0	20000	0.6897	0	0.6897	0	0.0000	0	0.0000	0	0.0000	20000
GULJHARI LAL SHARMA	AADHG9492F	1	20000	0	0	20000	0.6897	20000	0	20000	0.6897	0	0.6897	0	0.0000	0	0.0000	0	0.0000	20000
GULJHARI LAL SHARMA	ABTPS2500D	1	15000	0	0	15000	0.5172	15000	0	15000	0.5172	0	0.5172	0	0.0000	0	0.0000	0	0.0000	15000
SANTOSH DEVI SHARMA	AHXPS9142B	1	15000	0	0	15000	0.5172	15000	0	15000	0.5172	0	0.5172	0	0.0000	0	0.0000	0	0.0000	15000
RADHIKA SHARMA	EKPPS4292K	1	15000	0	0	15000	0.5172	15000	0	15000	0.5172	0	0.5172	0	0.0000	0	0.0000	0	0.0000	15000
(b) Central Government/State Government(s)																				
(c) Financial Institutions/Banks																				
(d) Any Other (specify)																				
Bodies Corporate		3	212000	0	0	212000	7.3103	212000	0	212000	7.3103	0	7.3103	0	0.0000	0	0.0000	0	0.0000	212000
PRABHAT COMMODITY BROKERS PRIVATE LIMITED	AABCP4108J	1	100000	0	0	100000	3.4483	100000	0	100000	3.4483	0	3.4483	0	0.0000	0	0.0000	0	0.0000	100000
SANTOSH MOTELS PRIVATE LIMITED	AABCS9213M	1	100000	0	0	100000	3.4483	100000	0	100000	3.4483	0	3.4483	0	0.0000	0	0.0000	0	0.0000	100000
PRABHAT FINANCIAL SERVICES LIMITED	AAACP8036A	1	12000	0	0	12000	0.4138	12000	0	12000	0.4138	0	0.4138	0	0.0000	0	0.0000	0	0.0000	12000
Sub Total		13	495000	0	0	495000	17.0689	495000	0	495000	17.0689	0	17.0689	0	0.0000	0	0.0000	0	0.0000	495000
(2) Foreign																				
(a) Individuals (Non-Resident Individuals/Foreign Individuals)																				
(b) Government																				
(c) Institutions																				
(d) Foreign Portfolio Investor																				
(e) Any Other (specify)																				
Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		13	495000	0	0	495000	17.0689	495000	0	495000	17.0689	0	17.0689	0	0.0000	0	0.0000	0	0.0000	495000




PRABHAT SECURITIES LIMITED

Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/12/2015

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder s (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholdin g % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholdin g as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights						Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class x	Class y	Total	Total								
(1)	Institutions																			
(a)	Mutual Funds / UTI																			
(b)	Venture Capital Funds																			
(c)	Alternate Investment Funds																			
(d)	Foreign Venture Capital Investors																			
(e)	Foreign Portfolio Investors																			
(f)	Financial Institutions / Banks																			
(g)	Insurance Companies																			
(h)	Provident Funds / Pension Funds																			
(i)	Any Other (specify)																			
	Sub Total			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA			0
(2)	Central Government / State Government (s) / President of India																			
(3)	Non-Institutions individuals-																			
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs			508	275807	0	0	275807	9.5106	275807	0	275807	9.5106	0	9.5106	20000	7.2514	NA		100000
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs			20	2129200	0	0	2129200	73.4205	2129200	0	2129200	73.4205	0	73.4205	1880000	88.2961	NA		1880000
	SIDDARTH GUPTA	AEJPG0532	1	450000	0	0	450000	15.5172	450000	0	450000	15.5172	0	15.5172	450000	100.0000	NA		450000	
	SONALI DIMRI	AJDPD1458	1	200000	0	0	200000	6.8965	200000	0	200000	6.8965	0	6.8965	200000	100.0000	NA		200000	
	KAMAL KISHORE SOMANI HUF SOMANI	AAHHK1436	1	200000	0	0	200000	6.8965	200000	0	200000	6.8965	0	6.8965	200000	100.0000	NA		200000	
	ANSHUMAN KAPUR	AATPK9209	1	200000	0	0	200000	6.8965	200000	0	200000	6.8965	0	6.8965	200000	100.0000	NA		200000	
	MANOJ AGARWAL	ABBPA2306	1	200000	0	0	200000	6.8965	200000	0	200000	6.8965	0	6.8965	200000	100.0000	NA		200000	
	DIVYA AGARWAL	ACUPG5212	1	200000	0	0	200000	6.8965	200000	0	200000	6.8965	0	6.8965	200000	100.0000	NA		200000	
	DEEPAK KUMAR AGARWAL	ABSPA22764	1	100000	0	0	100000	3.4483	100000	0	100000	3.4483	0	3.4483	100000	100.0000	NA		100000	
	VINAY KUMAR AGARWAL	ACAPA3335	1	100000	0	0	100000	3.4483	100000	0	100000	3.4483	0	3.4483	100000	100.0000	NA		100000	
	MURARI LAL KABRA	ABSPK8202	1	50000	0	0	50000	1.7241	50000	0	50000	1.7241	0	1.7241	50000	100.0000	NA		50000	
	SHANTI LAL JAIN	ACBPJ3116	1	50000	0	0	50000	1.7241	50000	0	50000	1.7241	0	1.7241	50000	100.0000	NA		50000	
	BINDU PANDEY	ADIPP9231H	1	50000	0	0	50000	1.7241	50000	0	50000	1.7241	0	1.7241	50000	100.0000	NA		50000	
	DEV SHANKER PANDEY	ADIPP9238C	1	50000	0	0	50000	1.7241	50000	0	50000	1.7241	0	1.7241	50000	100.0000	NA		50000	
	Umar Farooq		1	45000	0	0	45000	1.5517	45000	0	45000	1.5517	0	1.5517	0	0.0000	NA		0	
	AWDESH LALJI MISHRA		1	42000	0	0	42000	1.4483	42000	0	42000	1.4483	0	1.4483	0	0.0000	NA		0	
	VINOD KUMAR LALPURIA		1	36000	0	0	36000	1.2414	36000	0	36000	1.2414	0	1.2414	0	0.0000	NA		0	
	Santosh Kumar Singh		1	36000	0	0	36000	1.2414	36000	0	36000	1.2414	0	1.2414	0	0.0000	NA		0	
	RAJENDRA PRASAD SHARMA		1	32000	0	0	32000	1.1034	32000	0	32000	1.1034	0	1.1034	0	0.0000	NA		0	
	AMAR RATHI		1	30100	0	0	30100	1.0379	30100	0	30100	1.0379	0	1.0379	0	0.0000	NA		0	
	VISHNU KUMAR SOMANI	AISPS2971A	1	30000	0	0	30000	1.0345	30000	0	30000	1.0345	0	1.0345	30000	100.0000	NA		30000	

PRABHAT SECURITIES LIMITED

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								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)	
								Class x	Class y	Total									
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositorie s (holding DRs) (balancing figure)																		
(e)	Any Other (specify)																		
	Sub Total		528	2405007	0	0	2405007	82.9311	2405007	0	2405007	82.9311	0	82.9311	1900000	79.0018	NA		1980000
	Total Public Shareholdin g (B)=(B)(1)+(B)(2)+(B)(3)		528	2405007	0	0	2405007	82.9311	2405007	0	2405007	82.9311	0	82.9311	1900000	79.0018	NA		1980000



PRABHAT SECURITIES LIMITED																		
Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 31/12/2015																		
Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class x	Class y	Total			No. (a)	As a % of total shares held (b)	No. (a)	total shares held (Not applicable) (b)		
(1) Custodian / DR Holder																		
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
Total Non Promoter - Non Public Shareholding (C)=(C1)+(C2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

SI No	Folio/Client Id	Dp Id	PAN	Name	Shares	%	Category	Type	Sub Type
1	1203140000093102	31400	AZPSP7755E	ANIL KUMAR SHARMA	20000	0.6897	Promoter - Individuals/HUF	21	3
2	1203140000002949	31400	ACAPSS502M	ASHOK KUMAR SHARMA	49500	1.7069	Promoter - Individuals/HUF	21	3
3	1203140000000027	31400	ABTPS2500D	GULJHARI LAL SHARMA	15000	0.5172	Promoter - Individuals/HUF	21	3
4	1203140000076606	31400	AADHG9492F	GULJHARI LAL SHARMA	20000	0.6897	Promoter - Individuals/HUF	21	4
5	1203140000093921	31400	AYDPS7637N	KALI CHARAN SHARMA	50500	1.7414	Promoter - Individuals/HUF	21	3
6	1203140000110467	31400	ACHPS6210R	NIDHI SHARMA	20000	0.6897	Promoter - Individuals/HUF	21	3
7	1203140000109584	31400	EKPPS4292K	RADHIKA SHARMA	15000	0.5172	Promoter - Individuals/HUF	21	3
8	1203140000000956	31400	AHXPSS9141C	RAJESH SHARMA	39000	1.3448	Promoter - Individuals/HUF	21	3
9	1203140000108289	31400	CLRPS2467P	RITIKA SHARMA	39000	1.3448	Promoter - Individuals/HUF	21	3
10	1203140000076891	31400	AHXPSS9142B	SANTOSH DEVI SHARMA	15000	0.5172	Promoter - Individuals/HUF	21	3
				TOTAL	283000	9.7586	Promoter - Individuals/HUF		
1	1203140000110112	31400	AAABCP4108J	PRABHAT COMMODITY BROKERS PRIVATE LIMITED	100000	3.4483	Promoter - Bodies Corporate	25	12
2	1203140000105765	31400	AAACBP8036A	PRABHAT FINANCIAL SERVICES LIMITED	12000	0.4138	Promoter - Bodies Corporate	25	12
3	1203140000094412	31400	AAABCS9213M	SANTOSH MOTELS PRIVATE LIMITED	100000	3.4483	Promoter - Bodies Corporate	25	12
				TOTAL	212000	7.3103	Promoter - Bodies Corporate		



PRABHAT SECURITIES LIMITED				
Distribution Schedule as on 31/12/2015				
Share Holding	No of Holders	% age	No of Shares	% age
Upto 50	7	1.2939	7	0.0002
51 to 100	480	88.7246	48000	1.6552
151 to 250	3	0.5545	600	0.0207
251 to 500	4	0.7394	1800	0.0621
501 to 5000	1	0.1848	5000	0.1724
Above 5000	46	8.5028	2844600	98.0894
Grand Total	541	100.0000	2900007	100.0000
Distribution Schedule as on 31/12/2015				
Share Holding	No of Holders	% age	No of Shares	% age
Upto 500	494	91.3124	50407	1.7382
4001 to 5000	1	0.1848	5000	0.1724
5001 to 10000	1	0.1848	10000	0.3448
Above 10000	45	8.3179	2834600	97.7446
Grand Total	541	100.0000	2900007	100.0000
Distribution Schedule as on 31/12/2015				
Notional Value of (Rs.)	No of Holders	% age	Amount (Rs.)	% age
Upto 5000	494	91.3124	504070	1.7382
40001 to 50000	1	0.1848	50000	0.1724
50001 to 100000	1	0.1848	100000	0.3448
Above 100000	45	8.3179	28346000	97.7446
Grand Total	541	100.0000	29000070	100.0000

Kushwaha

