

**Format of holding of specified securities**

1. Name of Listed Entity: PRABHAT SECURITIES LIMITED (CIN:-)
2. Scrip Code - Name of Scrip -, - Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c )
  - a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/12/2016
  - b. If under 31(1)(c ) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

  
*Kushwaha*

PRABHAT SECURITIES LIMITED

Table I - Summary Statement holding of specified securities as on 31/12/2016

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter group	13	495000	0	0	495000	17.0689	495000	0	495000	17.0689	0	0.0000	0	0.0000	495000		
(B)	Public	528	2405007	0	0	2405007	82.9311	2405007	0	2405007	82.9311	0	0.0000	NA		2020000		
(C)	Non Promoter - Non Public													NA				
(C1)	Shares underlying DRs						NA							NA				
(C2)	Shares held by Employee Trusts													NA				
	Total	541	2900007	0	0	2900007	100.0000	2900007	0	2900007	100.0000	0				2515000		




## PRABHAT SECURITIES LIMITED

Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 31/12/2016

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
									Class x	Class y	Total								
(1)	Indian																		
(a)	Individuals/Hindu undivided Family		10	283000	0	0	283000	9.7586	283000	0	283000	9.7586	0	9.7586	0	0.0000	0	0.0000	283000
	KALI CHARAN SHARMA	AYDPS7637N	1	50500	0	0	50500	1.7414	50500	0	50500	1.7414	0	1.7414	0	0.0000	0	0.0000	50500
	ASHOK KUMAR SHARMA	ACAPS5502M	1	49500	0	0	49500	1.7069	49500	0	49500	1.7069	0	1.7069	0	0.0000	0	0.0000	49500
	RAJESH SHARMA	AHXPS9141C	1	39000	0	0	39000	1.3448	39000	0	39000	1.3448	0	1.3448	0	0.0000	0	0.0000	39000
	RITIKA SHARMA	CLRPS2467P	1	39000	0	0	39000	1.3448	39000	0	39000	1.3448	0	1.3448	0	0.0000	0	0.0000	39000
	ANIL KUMAR SHARMA	AZZPS7755E	1	20000	0	0	20000	0.6897	20000	0	20000	0.6897	0	0.6897	0	0.0000	0	0.0000	20000
	NIDHI SHARMA	ACHPS6210R	1	20000	0	0	20000	0.6897	20000	0	20000	0.6897	0	0.6897	0	0.0000	0	0.0000	20000
	GULJHARI LAL SHARMA .	AADHG9492F	1	20000	0	0	20000	0.6897	20000	0	20000	0.6897	0	0.6897	0	0.0000	0	0.0000	20000
	GULJHARI LAL SHARMA	ABTPS2500D	1	15000	0	0	15000	0.5172	15000	0	15000	0.5172	0	0.5172	0	0.0000	0	0.0000	15000
	SANTOSH DEVI SHARMA	AHXPS9142B	1	15000	0	0	15000	0.5172	15000	0	15000	0.5172	0	0.5172	0	0.0000	0	0.0000	15000
	RADHIKA SHARMA	EKPPS4292K	1	15000	0	0	15000	0.5172	15000	0	15000	0.5172	0	0.5172	0	0.0000	0	0.0000	15000
(b)	Central Government/State Government(s)																		
(c)	Financial Institutions/Banks																		
(d)	Any Other (specify)																		
	Bodies Corporate		3	212000	0	0	212000	7.3103	212000	0	212000	7.3103	0	7.3103	0	0.0000	0	0.0000	212000
	PRABHAT COMMODITY BROKERS PRIVATE LIMITED - PROPRIETARY ACCOUNT	AABCP4108J	1	100000	0	0	100000	3.4483	100000	0	100000	3.4483	0	3.4483	0	0.0000	0	0.0000	100000
	SANTOSH MOTELS PRIVATE LIMITED	AABCS9213M	1	100000	0	0	100000	3.4483	100000	0	100000	3.4483	0	3.4483	0	0.0000	0	0.0000	100000
	PRABHAT FINANCIAL SERVICES LIMITED - PROPRIETARY ACCOUNT	AAACP8036A	1	12000	0	0	12000	0.4138	12000	0	12000	0.4138	0	0.4138	0	0.0000	0	0.0000	12000
	Sub Total		13	495000	0	0	495000	17.0689	495000	0	495000	17.0689	0	17.0689	0	0.0000	0	0.0000	495000
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)																		
(b)	Government																		
(c)	Institutions																		
(d)	Foreign Portfolio Investor																		
(e)	Any Other (specify)																		
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		13	495000	0	0	495000	17.0689	495000	0	495000	17.0689	0	17.0689	0	0.0000	0	0.0000	495000



Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights					Total as a % of Total Voting rights	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	
								Class x	Class y	Total							
(1) Institutions																	
(a) Mutual Funds / UTI																	
(b) Venture Capital Funds																	
(c) Alternate Investment Funds																	
(d) Foreign Venture Capital Investors																	
(e) Foreign Portfolio Investors																	
(f) Financial Institutions / Banks																	
(g) Insurance Companies																	
(h) Provident Funds / Pension Funds																	
(i) Any Other (specify)																	
Sub Total			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	0
(2) Central Government / State Government(s) / President of India																	
(3) Non-institutions																	
(a) Individuals-																	
i. Individual shareholders holding nominal share capital upto Rs.2 lacs			508	275807	0	0	275807	9.5106	275807	0	275807	9.5106	0	0.0000	NA		140000
ii. Individual shareholders holding nominal share capital in excess of Rs.2 lacs			20	2129200	0	0	2129200	73.4205	2129200	0	2129200	73.4205	0	0.0000	NA		1880000
SIDDARTH GUPTA	AEJPG0532N	1	450000	0	0	450000	15.5172	450000	0	450000	15.5172	0	0.0000	NA		450000	
SONALI DIMRI	AJDPO1458R	1	200000	0	0	200000	6.8965	200000	0	200000	6.8965	0	0.0000	NA		200000	
KAMAL KISHORE SOMANI HUF SOMANI	AAHHR1436P	1	200000	0	0	200000	6.8965	200000	0	200000	6.8965	0	0.0000	NA		200000	
ANSHUMAN KAPUR	AA1PK9709Q	1	200000	0	0	200000	6.8965	200000	0	200000	6.8965	0	0.0000	NA		200000	
MANOJ AGARWAL	ABBPA2306H	1	200000	0	0	200000	6.8965	200000	0	200000	6.8965	0	0.0000	NA		200000	
DIVYA AGARWAL	ACUPQ5212E	1	200000	0	0	200000	6.8965	200000	0	200000	6.8965	0	0.0000	NA		200000	
DEEPAK KUMAR AGARWAL	ABSPA2276K	1	100000	0	0	100000	3.4483	100000	0	100000	3.4483	0	0.0000	NA		100000	
VINAY KUMAR AGARWAL	ACAPA3335N	1	100000	0	0	100000	3.4483	100000	0	100000	3.4483	0	0.0000	NA		100000	
MURARI LAL KABRA	ABSFK8202D	1	50000	0	0	50000	1.7241	50000	0	50000	1.7241	0	0.0000	NA		50000	
SHANTI LAL JAIN	ACBPJ1116H	1	50000	0	0	50000	1.7241	50000	0	50000	1.7241	0	0.0000	NA		50000	
BRNDU PANDEY	ADIPP9231H	1	50000	0	0	50000	1.7241	50000	0	50000	1.7241	0	0.0000	NA		50000	
DEV SHANKER PANDEY	ADIPP9238Q	1	50000	0	0	50000	1.7241	50000	0	50000	1.7241	0	0.0000	NA		50000	
Umar Farooq		1	45000	0	0	45000	1.5517	45000	0	45000	1.5517	0	0.0000	NA		0	
AWDESH LAL MISHRA		1	42000	0	0	42000	1.4483	42000	0	42000	1.4483	0	0.0000	NA		0	

PRABHAT SECURITIES LIMITED

Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/12/2016

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)	
								Class x	Class y	Total									
VINOD KUMAR LALPURIA		1	36000	0	0	36000	1.2414	36000	0	36000	1.2414	0	1.2414	0	0.0000	NA	0	0	
Santosh Kumar Singh		1	36000	0	0	36000	1.2414	36000	0	36000	1.2414	0	1.2414	0	0.0000	NA	0	0	
RAJENDRA PRASAD SHARMA		1	32000	0	0	32000	1.1034	32000	0	32000	1.1034	0	1.1034	0	0.0000	NA	0	0	
AMAR RATHI		1	30100	0	0	30100	1.0379	30100	0	30100	1.0379	0	1.0379	0	0.0000	NA	0	0	
VISHNU KUMAR SOMANI	AISPS2971A	1	30000	0	0	30000	1.0345	30000	0	30000	1.0345	0	1.0345	0	0.0000	NA	0	30000	
(b) NBFCs registered with RBI																			
(c) Employee Trusts																			
(d) Overseas Depositories (holding DRs) (balancing figure)																			
(e) Any Other (specify)																			
Sub Total		528	2405007	0	0	2405007	82.9311	2405007	0	2405007	82.9311	0	82.9311	0	0.0000	NA	0	2020000	
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		528	2405007	0	0	2405007	82.9311	2405007	0	2405007	82.9311	0	82.9311	0	0.0000	NA	0	2020000	



Kushwaha

PRABHAT SECURITIES LIMITED																		
Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 31/12/2016																		
Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights						No. (Not applicable) (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (b)	
								Class x	Class y	Total								
(1) Custodian / DR Holder																		
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0



Kushwaha

PRABHAT SECURITIES LIMITED				
Distribution Schedule as on 31/12/2016				
Share Holding	No of Holders	% age	No of Shares	% age
Upto 50	7	1.2939	7	0.0002
51 to 100	480	88.7246	48000	1.6552
151 to 250	3	0.5545	600	0.0207
251 to 500	4	0.7394	1800	0.0621
501 to 5000	1	0.1848	5000	0.1724
Above 5000	46	8.5028	2844600	98.0894
Grand Total	541	100.0000	2900007	100.0000
Distribution Schedule as on 31/12/2016				
Share Holding	No of Holders	% age	No of Shares	% age
Upto 500	494	91.3124	50407	1.7382
4001 to 5000	1	0.1848	5000	0.1724
5001 to 10000	1	0.1848	10000	0.3448
Above 10000	45	8.3179	2834600	97.7446
Grand Total	541	100.0000	2900007	100.0000
Distribution Schedule as on 31/12/2016				
Notional Value of (Rs.)	No of Holders	% age	Amount (Rs.)	% age
Upto 5000	494	91.3124	504070	1.7382
40001 to 50000	1	0.1848	50000	0.1724
50001 to 100000	1	0.1848	100000	0.3448
Above 100000	45	8.3179	28346000	97.7446
Grand Total	541	100.0000	29000070	100.0000

  
*Kushwaha*

**PRABHAT SECURITIES LIMITED**

Shareholding Summary as on 31/12/2016

Form	No of Records	No of Shares
PHYSICAL	507	385007
NSDL	4	240000
CDSL	30	2275000
TOTAL	541	2900007

  
*Rushwaha*