

**Format of holding of specified securities**

1. Name of Listed Entity: PRABHAT SECURITIES LIMITED (CIN:-)
2. Scrip Code - Name of Scrip -- Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c )
- a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/09/2021
- b. If under 31(1)(c ) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities as on 30/09/2021

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter group	16	595000	0	0	595000	20.5172	595000	0	595000	20.5172	0	20.5172	0	0.0000	0	0.0000	595000
(B)	Public	524	2305007	0	0	2305007	79.4828	2305007	0	2305007	79.4828	0	79.4828	0	0.0000	NA	NA	1950100
(C)	Non Promoter - Non Public															NA	NA	
(C1)	Shares underlying DRs						NA									NA	NA	
(C2)	Shares held by Employee Trusts															NA	NA	
	Total	540	2900007	0	0	2900007	100.0000	2900007	0	2900007	100.0000	0	100.0000	0				2545100



Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/09/2021

(1)	Category & Name of the Shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN	(II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
											Class x	Class y	Total			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(1)	Indian				13	383000	0	0	383000	13.2069	383000	0	383000	13.2069	0	0.0000	0	0.0000	383000	
(a)	Individuals/Hindu undivided Family																			
	KALI CHARAN SHARMA	Promoter Group	AYDPS7837N		1	50500	0	0	50500	1.7414	50500	0	50500	1.7414	0	0.0000	0	0.0000	50500	
	ASHOK KUMAR SHARMA	Promoter Group	ACAPS5502M		1	49500	0	0	49500	1.7069	49500	0	49500	1.7069	0	0.0000	0	0.0000	49500	
	VANDANA SHARMA	Promoter	AEBPS3791R		1	40000	0	0	40000	1.3793	40000	0	40000	1.3793	0	0.0000	0	0.0000	40000	
	HIMANSHU SHARMA	Promoter Group	DEUPS4327K		1	40000	0	0	40000	1.3793	40000	0	40000	1.3793	0	0.0000	0	0.0000	40000	
	RITIKA SHARMA	Promoter Group	CLRPS2467P		1	39000	0	0	39000	1.3448	39000	0	39000	1.3448	0	0.0000	0	0.0000	39000	
	RAJESH SHARMA	Promoter Group	AHXPS9141C		1	39000	0	0	39000	1.3448	39000	0	39000	1.3448	0	0.0000	0	0.0000	39000	
	ANIL KUMAR SHARMA	Promoter Group	AZZPS7755E		1	20000	0	0	20000	0.6897	20000	0	20000	0.6897	0	0.0000	0	0.0000	20000	
	NIDHI SHARMA	Promoter Group	ACHPS6210R		1	20000	0	0	20000	0.6897	20000	0	20000	0.6897	0	0.0000	0	0.0000	20000	
	GULJHARI LAL SHARMA	Promoter	AADHG9492F		1	20000	0	0	20000	0.6897	20000	0	20000	0.6897	0	0.0000	0	0.0000	20000	
	VINAYAK SHARMA	Promoter Group	FJOPS7840N		1	20000	0	0	20000	0.6897	20000	0	20000	0.6897	0	0.0000	0	0.0000	20000	
	RADHIKA SHARMA	Promoter Group	EKPPS4292K		1	15000	0	0	15000	0.5172	15000	0	15000	0.5172	0	0.0000	0	0.0000	15000	
	GULJHARI LAL SHARMA	Promoter	ABTPS2500D		1	15000	0	0	15000	0.5172	15000	0	15000	0.5172	0	0.0000	0	0.0000	15000	
	SANTOSH DEVI SHARMA	Promoter Group	AHXPS9142B		1	15000	0	0	15000	0.5172	15000	0	15000	0.5172	0	0.0000	0	0.0000	15000	
	Central Government/State Government(s)												0	0.0000	0	0.0000	0	0.0000	0	
(c)	Financial Institutions/Banks												0	0.0000	0	0.0000	0	0.0000	0	
(d)	Any Other (specify)												0	0.0000	0	0.0000	0	0.0000	0	
	Bodies Corporate				3	212000	0	0	212000	7.3103	212000	0	212000	7.3103	0	0.0000	0	0.0000	212000	
	PRABHAT COMMODITY BROKERS PRIVATE LIMITED	Promoter Group	AABCP4108J		1	100000	0	0	100000	3.4483	100000	0	100000	3.4483	0	0.0000	0	0.0000	100000	
	NEOGEN STONE CRUSHERS PRIVATE LIMITED	Promoter Group	AABCS9213M		1	100000	0	0	100000	3.4483	100000	0	100000	3.4483	0	0.0000	0	0.0000	100000	
	PRABHAT FINANCIAL SERVICES LIMITED - PROPRIETARY ACCOUNT	Promoter Group	AAACP8036A		1	12000	0	0	12000	0.4138	12000	0	12000	0.4138	0	0.0000	0	0.0000	12000	
	Sub Total				16	595000	0	0	595000	20.5172	595000	0	595000	20.5172	0	0.0000	0	0.0000	595000	
(2)	Foreign Individuals (Non-Resident Individuals/Foreign Individuals)												0	0.0000	0	0.0000	0	0.0000	0	
(a)	Government												0	0.0000	0	0.0000	0	0.0000	0	
(b)	Institutions												0	0.0000	0	0.0000	0	0.0000	0	
(c)	Foreign Portfolio Investor												0	0.0000	0	0.0000	0	0.0000	0	
(d)	Any Other (specify)												0	0.0000	0	0.0000	0	0.0000	0	
	Sub Total				0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	
	Total Shareholding of Promoter and Promoter Group (A)=(1)+(2)				16	595000	0	0	595000	20.5172	595000	0	595000	20.5172	0	0.0000	0	0.0000	595000	



PRABHAT SECURITIES LIMITED																		
Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2021																		
Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying convertible securities (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	As a % of total shares held (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
								Class x	Class y	Total								
(1) Institutions																		
(a) Mutual Funds / UTI																		
(b) Venture Capital Funds																		
(c) Alternate Investment Funds																		
(d) Foreign Venture Capital Investors																		
(e) Foreign Portfolio Investors																		
(f) Financial Institutions / Banks																		
(g) Insurance Companies																		
(h) Provident Funds / Pension Funds																		
(i) Any Other (specify)																		
Sub Total			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	0	
(2) Central Government / State Government(s) / President of India																		
(3) Non-Institutions																		
(a) Individuals-																		
i. Individual shareholders holding nominal share capital upto Rs.2 lakhs			507	270807	0	0	270807	9.3381	270807	0	270807	9.3381	0	9.3381	0	0.0000	NA	135000
ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs			15	1484200	0	0	1484200	51.1792	1484200	0	1484200	51.1792	0	51.1792	0	0.0000	NA	1265100
RAJSHREE GATTANI	ADHPG0271D		1	205000	0	0	205000	7.0689	205000	0	205000	7.0689	0	7.0689	0	0.0000	NA	205000
DIVYA AGARWAL	ACUPG5212E		1	200000	0	0	200000	6.8965	200000	0	200000	6.8965	0	6.8965	0	0.0000	NA	200000
SONALI DIMRI	AJDPD1458R		1	200000	0	0	200000	6.8965	200000	0	200000	6.8965	0	6.8965	0	0.0000	NA	200000
ANSHUMAN KAPUR	AATPK9209Q		1	200000	0	0	200000	6.8965	200000	0	200000	6.8965	0	6.8965	0	0.0000	NA	200000
MANOJ AGARWAL	ABBPA2306H		1	200000	0	0	200000	6.8965	200000	0	200000	6.8965	0	6.8965	0	0.0000	NA	200000
DEEPAK KUMAR AGARWAL	ABSPA2276K		1	100000	0	0	100000	3.4483	100000	0	100000	3.4483	0	3.4483	0	0.0000	NA	100000
VINAY KUMAR AGARWAL	ACAPA3335N		1	100000	0	0	100000	3.4483	100000	0	100000	3.4483	0	3.4483	0	0.0000	NA	100000
Umar Farooq			1	45000	0	0	45000	1.5517	45000	0	45000	1.5517	0	1.5517	0	0.0000	NA	0
AWDESH LALJI MISHRA			1	42000	0	0	42000	1.4483	42000	0	42000	1.4483	0	1.4483	0	0.0000	NA	0
Santosh Kumar Singh			1	36000	0	0	36000	1.2414	36000	0	36000	1.2414	0	1.2414	0	0.0000	NA	0
VINOD KUMAR LALPURIA			1	36000	0	0	36000	1.2414	36000	0	36000	1.2414	0	1.2414	0	0.0000	NA	0
RAJENDRA PRASAD SHARMA			1	32000	0	0	32000	1.1034	32000	0	32000	1.1034	0	1.1034	0	0.0000	NA	0
AMAR RATHI	AIGPR3164E		1	30100	0	0	30100	1.0379	30100	0	30100	1.0379	0	1.0379	0	0.0000	NA	30100
VISHNU KUMAR SOMANI	AISPS2971A		1	30000	0	0	30000	1.0345	30000	0	30000	1.0345	0	1.0345	0	0.0000	NA	30000

Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2021

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying convertible securities (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
								Class x	Class y	Total								
(b) NBFCs registered with RBI																		
(c) Employee Trusts																		
(d) Overseas Depositories (holding DRs) (balancing figure)																		
(e) Any Other (specify)																		
Bodies Corporate		2	550000	0	0	550000	18.9655	550000	0	550000	18.9655	0	18.9655	0	0.0000	NA		550000
SUCCESS VYAPAR LIMITED	AAKCS0190G	1	450000	0	0	450000	15.5172	450000	0	450000	15.5172	0	15.5172	0	0.0000	NA		450000
JASMINE COMMODITIES PVT.LTD	AABCJ9295Q	1	100000	0	0	100000	3.4483	100000	0	100000	3.4483	0	3.4483	0	0.0000	NA		100000
Sub Total		524	2305007	0	0	2305007	79.4828	2305007	0	2305007	79.4828	0	79.4828	0	0.0000	NA		1950100
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		524	2305007	0	0	2305007	79.4828	2305007	0	2305007	79.4828	0	79.4828	0	0.0000	NA		1950100



Table iv - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/09/2021

Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class x	Class y	Total				As a % of total shares held (a)	As a % of total applicable (b)	No. (Not applicable) (a)	As a % of total shares held (b)		
																			No. (a)
(1) Custodian / DR Holder																			
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																			
Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA		0



PRABHAT SECURITIES LIMITED											
Annexure											
Table V - Statement showing details of significant beneficial owners as on 30/09/2021											
Sr No	Details of the (SBO)			Details of the registered owner			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:				Date of creation / acquisition of significant beneficial interest
	(I)			(II)			Whether by virtue of: (III)				
	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence



PRABHAT SECURITIES LIMITED				
Shareholding Summary as on 30/09/2021				
Form	As per Folio/Client Id		As per PAN	
	No of Records	No of Shares	No of Records	No of Shares
PHYSICAL	506	354907	506	354907
NSDL	4	240000	4	240000
CDSL	30	2305100	30	2305100
<b>TOTAL</b>	<b>540</b>	<b>2900007</b>	<b>540</b>	<b>2900007</b>

