

Format of holding of specified securities

1. Name of Listed Entity: PRABHAT SECURITIES LIMITED (CIN:-)
2. Scrip Code - Name of Scrip -,- Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/12/2019
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



PRABHAT SECURITIES LIMITED

Table I - Summary Statement holding of specified securities as on 31/12/2019

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter group	13	495000	0	0	495000	17.0689	495000	0	495000	17.0689	0	17.0689	0	0.0000	0	0.0000	495000
(B)	Public	526	2405007	0	0	2405007	82.9311	2405007	0	2405007	82.9311	0	82.9311	0	0.0000	NA	NA	2050100
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts																	
	Total	539	2900007	0	0	2900007	100.0000	2900007	0	2900007	100.0000	0	100.0000	0				2545100



	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
									Class x	Class y	Total								
(1)	Indian																		
(a)	Individuals/Hindu undivided Family		10	283000	0	0	283000	9.7586	283000	0	283000	9.7586	0	9.7586	0	0.0000	0	0.0000	283000
	KALI CHARAN SHARMA	AYDPS7637N	1	50500	0	0	50500	1.7414	50500	0	50500	1.7414	0	1.7414	0	0.0000	0	0.0000	50500
	ASHOK KUMAR SHARMA	ACAPS5502M	1	49500	0	0	49500	1.7069	49500	0	49500	1.7069	0	1.7069	0	0.0000	0	0.0000	49500
	RAJESH SHARMA	AHXPS9141C	1	39000	0	0	39000	1.3448	39000	0	39000	1.3448	0	1.3448	0	0.0000	0	0.0000	39000
	RITIKA SHARMA	CLRPS2467P	1	39000	0	0	39000	1.3448	39000	0	39000	1.3448	0	1.3448	0	0.0000	0	0.0000	39000
	ANIL KUMAR SHARMA	AZZPS7755E	1	20000	0	0	20000	0.6897	20000	0	20000	0.6897	0	0.6897	0	0.0000	0	0.0000	20000
	NIDHI SHARMA	ACHPS6210R	1	20000	0	0	20000	0.6897	20000	0	20000	0.6897	0	0.6897	0	0.0000	0	0.0000	20000
	GULJHARI LAL SHARMA	AADHG9492F	1	20000	0	0	20000	0.6897	20000	0	20000	0.6897	0	0.6897	0	0.0000	0	0.0000	20000
	GULJHARI LAL SHARMA	ABTPS2500D	1	15000	0	0	15000	0.5172	15000	0	15000	0.5172	0	0.5172	0	0.0000	0	0.0000	15000
	SANTOSH DEVI SHARMA	AHXPS9142B	1	15000	0	0	15000	0.5172	15000	0	15000	0.5172	0	0.5172	0	0.0000	0	0.0000	15000
	RADHIKA SHARMA	EKPPS4292K	1	15000	0	0	15000	0.5172	15000	0	15000	0.5172	0	0.5172	0	0.0000	0	0.0000	15000
(b)	Central Government/State Government(s)																		
(c)	Financial Institutions/Banks																		
(d)	Any Other (specify)																		
	Bodies Corporate		3	212000	0	0	212000	7.3103	212000	0	212000	7.3103	0	7.3103	0	0.0000	0	0.0000	212000
	PRABHAT COMMODITY BROKERS PRIVATE LIMITED	AABCP4108J	1	100000	0	0	100000	3.4483	100000	0	100000	3.4483	0	3.4483	0	0.0000	0	0.0000	100000
	SANTOSH MOTELS PRIVATE LIMITED	AABCS9213M	1	100000	0	0	100000	3.4483	100000	0	100000	3.4483	0	3.4483	0	0.0000	0	0.0000	100000
	PRABHAT FINANCIAL SERVICES LIMITED - PROPRIETARY ACCOUNT	AAACP8036A	1	12000	0	0	12000	0.4138	12000	0	12000	0.4138	0	0.4138	0	0.0000	0	0.0000	12000
	Sub Total		13	495000	0	0	495000	17.0689	495000	0	495000	17.0689	0	17.0689	0	0.0000	0	0.0000	495000
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)																		
(b)	Government																		
(c)	Institutions																		
(d)	Foreign Portfolio Investor																		
(e)	Any Other (specify)																		
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		13	495000	0	0	495000	17.0689	495000	0	495000	17.0689	0	17.0689	0	0.0000	0	0.0000	495000

PRABHAT SECURITIES LIMITED		Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/12/2019																	
	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class x	Class y	Total								
(1)	Institutions																		
(a)	Mutual Funds / UTI																		
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions / Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds / Pension Funds																		
(i)	Any Other (specify)																		
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	0	
(2)	Central Government / State Government(s) / President of India																		
(3)	Non-Institutions																		
(a)	Individuals-																		
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		507	270807	0	0	270807	9.3381	270807	0	270807	9.3381	0	9.3381	0	0.0000	NA	135000	
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		18	2034200	0	0	2034200	70.1447	2034200	0	2034200	70.1447	0	70.1447	0	0.0000	NA	1815100	
	SIDDARTH GUPTA	AEJPG0532N	1	450000	0	0	450000	15.5172	450000	0	450000	15.5172	0	15.5172	0	0.0000	NA	450000	
	RAJSHREE GATTANI	ADHPG0271D	1	205000	0	0	205000	7.0689	205000	0	205000	7.0689	0	7.0689	0	0.0000	NA	205000	
	SONALI DIMRI	AJDPD1458R	1	200000	0	0	200000	6.8965	200000	0	200000	6.8965	0	6.8965	0	0.0000	NA	200000	
	DIVYA AGARWAL	ACUPG5212E	1	200000	0	0	200000	6.8965	200000	0	200000	6.8965	0	6.8965	0	0.0000	NA	200000	
	ANSHUMAN KAPUR	AATPK9209Q	1	200000	0	0	200000	6.8965	200000	0	200000	6.8965	0	6.8965	0	0.0000	NA	200000	
	MANOJ AGARWAL	ABPA2306H	1	200000	0	0	200000	6.8965	200000	0	200000	6.8965	0	6.8965	0	0.0000	NA	200000	
	DEEPAK KUMAR AGARWAL	ABSPA2276K	1	100000	0	0	100000	3.4483	100000	0	100000	3.4483	0	3.4483	0	0.0000	NA	100000	
	VINAY KUMAR AGARWAL	ACAPA3335N	1	100000	0	0	100000	3.4483	100000	0	100000	3.4483	0	3.4483	0	0.0000	NA	100000	
	SHANTI LAL JAIN	ACBPJ3116H	1	50000	0	0	50000	1.7241	50000	0	50000	1.7241	0	1.7241	0	0.0000	NA	50000	
	MURARI LAL KABRA	ABSPK8202D	1	50000	0	0	50000	1.7241	50000	0	50000	1.7241	0	1.7241	0	0.0000	NA	50000	
	Umar Farooq		1	45000	0	0	45000	1.5517	45000	0	45000	1.5517	0	1.5517	0	0.0000	NA	0	
	AWDESH LALJI MISHRA		1	42000	0	0	42000	1.4483	42000	0	42000	1.4483	0	1.4483	0	0.0000	NA	0	
	Santosh Kumar Singh		1	36000	0	0	36000	1.2414	36000	0	36000	1.2414	0	1.2414	0	0.0000	NA	0	
	VINOD KUMAR LALPURIA		1	36000	0	0	36000	1.2414	36000	0	36000	1.2414	0	1.2414	0	0.0000	NA	0	
	RAJENDRA PRASAD SHARMA		1	32000	0	0	32000	1.1034	32000	0	32000	1.1034	0	1.1034	0	0.0000	NA	0	

PRABHAT SECURITIES LIMITED

Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/12/2019

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
								Class x	Class y	Total								
AMAR RATHI	AIGPR3164E	1	30100	0	0	30100	1.0379	30100	0	30100	1.0379	0	1.0379	0	0.0000	NA	30100	
VISHNU KUMAR SOMANI	AISPS2971A	1	30000	0	0	30000	1.0345	30000	0	30000	1.0345	0	1.0345	0	0.0000	NA	30000	
(b) NBFCs registered with RBI																		
(c) Employee Trusts																		
(d) Overseas Depositories (holding DRs) (balancing figure)																		
(e) Any Other (specify)																		
Bodies Corporate		1	100000	0	0	100000	3.4483	100000	0	100000	3.4483	0	3.4483	0	0.0000	NA	100000	
JASMINE COMMODITIES PVT.LTD	AABCJ9295Q	1	100000	0	0	100000	3.4483	100000	0	100000	3.4483	0	3.4483	0	0.0000	NA	100000	
Sub Total		526	2405007	0	0	2405007	82.9311	2405007	0	2405007	82.9311	0	82.9311	0	0.0000	NA	2050100	
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		526	2405007	0	0	2405007	82.9311	2405007	0	2405007	82.9311	0	82.9311	0	0.0000	NA	2050100	



PRABHAT SECURITIES LIMITED		Table Iv - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 31/12/2019																	
Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	No of shares Underlying convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights						No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares (Not applicable) (b)		
								Class x	Class y	Total									
(1) Custodian / DR Holder																			
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																			
Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	



PRABHAT SECURITIES LIMITED											
Annexure											
Table V - Statement showing details of significant beneficial owners as on 31/12/2019											
Details of the (SBO)			Details of the registered owner			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:				Date of creation / acquisition of significant beneficial interest	
(I)			(II)			Whether by virtue of: (III)				(IV)	
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence
1				SIDDARTH GUPTA	AEJPG0532N	INDIAN	450000	15.5172			
	Total						450000	15.5172			



PRABHAT SECURITIES LIMITED				
Shareholding Summary as on 31/12/2019				
Form	As per Folio/Client Id		As per PAN	
	No of Records	No of Shares	No of Records	No of Shares
PHYSICAL	506	354907	506	354907
NSDL	4	240000	4	240000
CDSL	29	2305100	29	2305100
TOTAL	539	2900007	539	2900007

