

PRABHAT SECURITIES LIMITED

Regd. Off. : 118/610 A Kaushal Puri, Kanpur-208012

CIN : L22022UP1982PLC005759

Phones : 91-512-252634

Email : prabhatsecurities@gmail.com

Dated: 15.09.2023

To,
Listing Department
The Calcutta Stock Exchange Ltd.
7, Lyons Range,
Kolkata-700001

Metropolitan Stock Exchange of India Limited
Vibgyor Towers, 4th floor, Plot No C 62, Opp.
Trident Hotel Bandra Kurla Complex,
Bandra (E), Mumbai – 400098

Ref.: M/s Prabhat Securities Limited

(CIN. L22022UP1982PLC005759)

(ISIN: INE774R01016)

Sub.: Intimation Regarding Voting Results & Scrutinizer Report of the 41st Annual General Meeting

Dear Sir/madam,

In terms of Regulation 44(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find attached herewith the following;

- 1.The details of the voting results of the 41st Annual General Meeting of the Company attached as Annexure I.
2. Report of the Scrutinizer dated 15.09.2023, pursuant to Section 108 of the Companies Act, 2013 read with Rule 20(4)(xii) of the Companies (Management and Administration) Rules, 2014 as "Annexure -II".

We would like to inform you that all the resolutions set out in the Notice dated 17.08.2023 September 2023 were passed with requisite majority by the shareholders.

The consolidated scrutinizer's report is attached as Annexure II.
Please take it on your record and oblige us.

Thanking you,

Yours faithfully,

For **PRABHAT SECURITIES LIMITED**

Rama Kant Kushwaha
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Ramakant Kushwaha

(Managing Director)

DIN.:02237714

Annexure-1

RESULTS OF REMOTE E-VOTING AND E VOTING CONDUCTED PURSUANT TO SECTION 108 OF THE COMPANIES ACT, 2013 READ WITH RULE 20 OF THE COMPANIES (MANAGEMENT AND ADMINISTRATION) RULES, 2014 FOR THE 41st ANNUAL GENERAL MEETING OF PRABHAT SECURITIES LIMITED HELD ON FRIDAY, 15th SEPTEMBER, 2023 CONDUCTED AT THE REGISTERED OFFICE OF THE COMPANY AT 118/610A, KAUSHALPURI, KANPUR-208012

Date of the AGM		15th SEPTEMBER, 2023						
Total number of shareholders on record date		540						
No. of Shareholders attended the meeting through Video Conferencing Promoters and Promoter Group:6 Public:4		10						
Resolution required: (Ordinary)		1. To receive, consider and adopt the financial statements of the Company for the year ended on 31st March, 2023 including the audited Balance Sheet as on 31st March, 2023 and the Statement of Profit and Loss for the year ended on that date and the Reports of the Directors and Auditors thereon.						
Whether promoter/ promoter group are interested in the agenda/resolution?		No						
Category	Mode of Voting	No. of Shares (1)	No. of Votes Polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]*100	No. of Votes in Favour (4)	No. of Votes in Against (5)	%. of shares in favour on votes Polled (6)=[(4)/(2)]*100	% of votes against on votes Polled (7)=[(5)/(2)]*100
Promoter And Promoter Group	E-Voting	595000	490500	82.436	490500	-	-	-
	Poll		0	0	0	-	100%	-
	Total		490500	82.436	490500	-	100%	-
Public Institution	E-Voting	-	-	-	-	-	-	-
	Poll	-	-	-	-	-	-	-

	Total	-	-	-	-	-	-	-
Public Non Institution	E-Voting	2305007	570100	24.773	570100			-
	Poll		215200	9.336	215200	-	100%	-
	Total		785300	34.069	785300	-	100%	-
Total		2900007	1275800	43.992	1275800	Nil	100%	Nil

Resolution required: (Ordinary)				2. Re-appointment of Ms. Vandana Sharma (DIN: 00954155), who retires by rotation in terms of section 152(6) of the Companies Act, 2013 and, being eligible, offers herself for re-appointment.				
Whether promoter/ promoter group are interested in the agenda/resolution?				Yes				
Category	Mode of Voting	No. of Shares (1)	No. of Votes Polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]*100	No. of Votes in Favour (4)	No. of Votes in Against (5)	% of shares in favour on votes Polled (6)=[(4)/(2)]*100	% of votes against on votes Polled (7)=[(5)/(2)]*100
Promoter And Promoter Group	E-Voting	595000	490500	82.436	490500	-	-	-
	Poll		0	0	0	-	100%	-
	Total		490500	82.436	490500	-	100%	-
Public Institution	E-Voting	-	-	-	-	-	-	-
	Poll	-	-	-	-	-	-	-

	Total	-	-	-	-	-	-	-
Public Non Institution	E-Voting	2305007	570100	24.773	570100			-
	Poll		215200	9.336	215200	-	100%	-
	Total		785300	34.069	785300	-	100%	-
Total		2900007	1275800	43.992	1275800	Nil	100%	Nil

Resolution required: (Ordinary)	3. Appointment of M/s R.P.Khandelwal & Associates, Chartered Accountants (FRN: 001795C) as the Auditors of the company to hold office from the conclusion of this meeting until the conclusion of 46th AGM of the members of the company
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Whether promoter/ promoter group are interested in the agenda/resolution?	No
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Category	Mode of Voting	No. of Shares (1)	No. of Votes Polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]*100	No. of Votes in Favour (4)	No. of Votes in Against (5)	% of shares in favour on votes Polled (6)=[(4)/(2)]*100	% of votes against on votes Polled (7)=[(5)/(2)]*100
Promoter And Promoter Group	E-Voting	595000	490500	82.436	490500	-	-	-
	Poll		0	0	0	-	100%	-
	Total		490500	82.436	490500	-	100%	-
Public Institution	E-Voting	-	-	-	-	-	-	-
	Poll	-	-	-	-	-	-	-

	Total	-	-	-	-	-	-	-
Public Non Institution	E-Voting	2305007	570100	24.773	570100			-
	Poll		215200	9.336	215200	-	100%	-
	Total		785300	34.069	785300	-	100%	-
Total		2900007	1275800	43.992	1275800	Nil	100%	Nil

Resolution required: (Special)	4. Re-appointment of Mr. Vijay Kumar Jain (DIN: 08208856) as Independent Director of the Company for second term of 5 (five) years. for second term commencing from September 15, 2023 or to the date of the 46th Annual General Meeting, whichever is later
Whether promoter/ promoter group are interested in the agenda/resolution?	No

Category	Mode of Voting	No. of Shares (1)	No. of Votes Polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]*100	No. of Votes in Favour (4)	No. of Votes in Against (5)	% of shares in favour on votes Polled (6)=[(4)/(2)]*100	% of votes against on votes Polled (7)=[(5)/(2)]*100
Promoter And Promoter Group	E-Voting	595000	490500	82.436	490500	-	-	-
	Poll		0	0	0	-	100%	-
	Total		490500	82.436	490500	-	100%	-
Public Institution	E-Voting	-	-	-	-	-	-	-
	Poll	-	-	-	-	-	-	-

	Total	-	-	-	-	-	-	-
Public Non Institution	E-Voting	2305007	570100	24.773	570100			-
	Poll		215200	9.336	215200	-	100%	-
	Total		785300	34.069	785300	-	100%	-
Total		2900007	1275800	43.992	1275800	Nil	100%	Nil

For Prabhat Securities Limited

Rama Kant
Kushwaha
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by Rama Kant
Kushwaha
Date: 2023.09.15
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R.K.Kushwaha
(Managing Director)
DIN:02237714



FORM NO. MGT-13

SCRUTINIZER'S REPORT

[Pursuant to Section 108 & 109 of the Companies Act, 2013 and Rules 20(4)(xii) & 21(2) of the Companies (Management and Administration) Rules, 2014]

To,
The Chairman
41ST Annual General Meeting of the shareholders of
PRABHAT SECURITIES LIMITED
Regd. Office: 118/610 A Kaushal Puri,
Kanpur

Subject: CONSOLIDATED SCRUTINIZER'S REPORT ON REMOTE E-VOTING CONDUCTED PRIOR TO THE 41ST ANNUAL GENERAL MEETING ('AGM') OF PRABHAT SECURITIES LIMITED AND VOTING THROUGH POLL CONDUCTED DURING THE AGM HELD ON FRIDAY, 15TH SEPTEMBER, 2023 AT 01:30 P.M (IST)

Dear Sir,

I, **CS Vaibhav Agnihotri (Company Secretary in Practice and Proprietor) of M/s V. Agnihotri & Associates** was appointed as the Scrutinizer by the Board of Directors of **PRABHAT SECURITIES LIMITED** (the Company) for the purpose of scrutinizing e-voting process (remote e-voting) and through poll at the Annual General Meeting through physical means. In compliance with the MCA Circulars and SEBI Circular dated 5 January, 2023, the Notice along with the Integrated Annual Report 2022-23 was sent through electronic mode to those equity shareholders whose email address is registered with the Company/ Registrar & Transfer Agent of the Company, National Securities

Depository Limited ("NSDL") / Central Depository Services (India) Limited("CDSL") /Depository Participants.

Pursuant to Section 108& 109 of the Companies Act, 2013 read with Rules 20& 21of the Companies (Management and Administration) Rules, 2014 (Amendment Rules, 2015) and pursuant to Regulation 44 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 in respect of the below mentioned Resolutions proposed at the 41stAnnual General Meeting of the Equity Shareholders of the Company held on Friday September 15th, 2023 at 01:30 P.M. submit my report as under:

➤ **Notice Convening the Meeting:**

The Company has informed that, on the basis of the Register of Members and the List of Beneficiary Owners made available by the depositories, the Company completed dispatch of the Notice of the AGM as under:

➤ **By Electronic Means:**

On 22ndAugust 2023 by e-mail to 22 Shareholders who had registered their email-ids with Depositories/the Company as per the Communication from RTA.

1. Management's Responsibility

The management of the Company is responsible to ensure compliance with the requirements of (i) the Act and the Rules made thereunder; (ii) the MCA Circulars; and (iii) the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, ("LODR") relating to e-voting on the resolutions contained in the Notice calling the AGM. The management of the Company is responsible

for ensuring a secured framework and robustness of the electronic voting systems.

2. Cut-off Date

The Voting rights were reckoned as on Friday, **September 8th, 2023** being the cut-off date for the purpose of deciding the entitlements of Shareholders at the remote e-Voting and Voting at the Meeting.

3. Remote e-Voting

i. Agency:

The Company has appointed Central Depository Services (India) Limited (CDSL) as the Agency for providing the remote e-Voting platform.

ii. Remote e-Voting:

The remote e-Voting platform was open from 09:00 a.m. on Tuesday, September 12th, 2023 upto 05:00 p.m. on Thursday, September 14th, 2023 and shareholders were required to cast their votes electronically conveying their assent or dissent in respect of the Ordinary and Special Resolutions, on the e-Voting platform provided by CDSL.

4. Voting at the AGM (Through Poll):

Members present in person / through authorised representatives-	10
Members who cast vote through E-Voting	NA

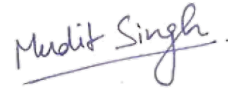
Members present but did not participate in poll.	7
Members who cast vote through poll	3

5. Counting Process:

- i. After the conclusion of the Annual General Meeting, the votes cast through remote e-voting were unblocked at around 02.00 P.M. in the presence of two witnesses who were not in the employment of the Company.



Name: Ms. Shambhavi Chaturvedi



Name: Mr. Mudit Singh

- ii. Thereafter, the details of equity shareholders, who voted for or against were downloaded from the E-Voting website of Central Depository Services (India) Limited (CDSL).
- iii. Based on the E -voting results available to me, 19 members have casted their vote through remote E- voting holding 10,60,600 shares and 3 (three) members have casted their votes during the meeting. The meeting concluded at around 01:46 P.M. after which consolidated results were prepared.
- iv. The Management of the Company is responsible to ensure compliance with the requirements of the Act and rules relating to remote E- voting and poll during the AGM on the resolutions contained in the Notice of Annual General Meeting. Some details in the report have been mentioned as per the communication received from the Company.
- v. My responsibility as scrutinizer for the remote E-voting and the poll conducted during AGM is restricted to submit Scrutinizer's report for the votes cast in favour or against the resolution

- vi. The consolidated result of remote E-voting and poll at the Annual General Meeting is as under:

VOTING RESULTS

[Pursuant to Regulation 44(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]

Date of the AGM	15th September, 2023
Total number of shareholders on cut-off date	540
No. of Shareholders present in the meeting:	10
Promoters and Promoter Group:	6
Public:	4

Resolution No. 1

To consider and adopt the standalone financial statements of the Company for the financial year ended 31 March 2023, together with the Directors' and Auditors' Reports thereon.

Resolution required:			ORDINARY RESOLUTION					
Whether promoter/ promoter group are interested in the agenda/resolution?			NO					
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)] * 100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)] * 100	% of Votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	595000	490500	82.436	490500	0	100	0
	Poll		0	0	0	0	0	0
	Postal Ballot (not applicable)							
	Total		595000	490500	82.436	490500	0	100
Public- Institutions	E-Voting	0	0	0	0	0	0	0
	Poll	0	0	0	0	0	0	0
	Postal Ballot (not applicable)	0	0	0	0	0	0	0
	Total	0	0	0	0	0	0	0
Public- Non Institutions	E-Voting	2305007	570100	24.733	570100	0	100	0
	Poll		215200	9.336	215200	0	100	0
	Postal Ballot (not applicable)							
	Total		2305007	785300	34.069	785300	0	100
Total	Grand Total	2900007	1275800	43.992	1275800	0	100	0

Resolution No. 2

- To appoint a director in place of Vandana Sharma (DIN: 00954155), who retires by rotation in terms of section 152(6) of the Companies Act, 2013 and, being eligible, offers herself for re-appointment.

Resolution required:			ORDINARY RESOLUTION					
Whether promoter/ promoter group are interested in the agenda/resolution?			NO					
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)] * 100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)] * 100	% of Votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	595000	490500	82.436	490500	0	100	0
	Poll		0	0	0	0	0	0
	Postal Ballot (not applicable)							
	Total		595000	490500	82.436	490500	0	100
Public- Institutions	E-Voting	0	0	0	0	0	0	0
	Poll	0	0	0	0	0	0	0
	Postal Ballot (not applicable)	0	0	0	0	0	0	0
	Total	0	0	0	0	0	0	0
Public- Non Institutions	E-Voting	2305007	570100	24.733	570100	0	100	0
	Poll		215200	9.336	215200	0	100	0
	Postal Ballot (not applicable)							
	Total		2305007	785300	34.069	785300	0	100
Total	Grand Total	2900007	1275800	43.992	1275800	0	100	0

Resolution No. 3

To appoint M/s R.P.Khandelwal & Associates, Chartered Accountants (FRN: 001795C) as the Auditors of the company to hold office from the conclusion of this meeting until the conclusion of 46th AGM of the members of the company.

Resolution required:			ORDINARY RESOLUTION					
Whether promoter/ promoter group are interested in the agenda/resolution?			NO					
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)] * 100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)] * 100	% of Votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	595000	490500	82.436	490500	0	100	0
	Poll		0	0	0	0	0	0
	Postal Ballot (not applicable)							
	Total		595000	490500	82.436	490500	0	100
Public- Institutions	E-Voting	0	0	0	0	0	0	0
	Poll	0	0	0	0	0	0	0
	Postal Ballot (not applicable)	0	0	0	0	0	0	0
	Total	0	0	0	0	0	0	0
Public- Non Institutions	E-Voting	2305007	570100	24.733	570100	0	100	0
	Poll		215200	9.336	215200	0	100	0
	Postal Ballot (not applicable)							
	Total		2305007	785300	34.069	785300	0	100
Total	Grand Total	2900007	1275800	43.992	1275800	0	100	0

Resolution No. 4 (Special Business):

To re-appoint Mr. Vijay Kumar Jain (DIN: 08208856) as Independent Director of the Company for second term of 5 (five) years commencing from September 15, 2023 or to the date of the 46th Annual General Meeting, whichever is later

Resolution required:			SPECIAL RESOLUTION					
Whether promoter/ promoter group are interested in the agenda/resolution?			NO					
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)] * 100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)] * 100	% of Votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	595000	490500	82.436	490500	0	100	0
	Poll		0	0	0	0	0	0
	Postal Ballot (not applicable)							
	Total		595000	490500	82.436	490500	0	100
Public- Institutions	E-Voting	0	0	0	0	0	0	0
	Poll	0	0	0	0	0	0	0
	Postal Ballot (not applicable)	0	0	0	0	0	0	0
	Total	0	0	0	0	0	0	0
Public- Non Institutions	E-Voting	2305007	570100	24.733	570100	0	100	0
	Poll		215200	9.336	215200	0	100	0
	Postal Ballot (not applicable)							
	Total		2305007	785300	34.069	785300	0	100
Total	Grand Total	2900007	1275800	43.992	1275800	0	100	0

RESULT SUMMARY

SR. NO.	RESOLUTION	TYPE OF RESOLUTION	FAVOUR ()	AGAINST ()
1.	To consider and adopt the standalone financial statements of the Company for the financial year ended 31 March 2023, together with the Directors' Report and Auditors' Reports thereon.	Ordinary Resolution	100	0
2.	To re-appoint a director in place of Vandana Sharma(DIN: 00954155), who retires by rotation in terms of section 152(6) of the Companies Act, 2013 and, being eligible, offers herself for re-appointment.	Ordinary Resolution	100	0
3.	To appoint M/s. R. P. Khandelwal & Associates, Chartered Accountants (FRN: 001795C) as the Auditors of the company to hold office from the conclusion of this meeting until the conclusion of 46th AGM of the members of the company.	Ordinary Resolution	100	0
4.	To re appoint Mr. Vijay Kumar Jain (DIN: 08208856) as Independent Director of the Company for second term of 5 (five) years commencing from September 15, 2023 or to the date of the 46th Annual General Meeting , whichever is later	Special Resolution	100	0



V. Agnihotri & Associates

Company Secretaries

The relevant records relating to electronic voting and voting through Poll shall remain in our safe custody until the Chairman considers, approves and signs the minutes of AGM. Thereafter, the same shall be handed over to the Company Secretary/Director authorized by the Board for safe keeping.

Thanking You,
Yours Faithfully,

For M/s V. Agnihotri & Associates
Company Secretaries

Vaibhav
Agnihotri
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by Vaibhav
Agnihotri
Date: 2023.09.15
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Vaibhav Agnihotri
FCS: 10363/ C.P. No.: 21596
UDIN: F010363E001014597
Peer Review No. 2065/2022

Place: Kanpur
Date: 15.09.2023

COUNTER SIGNED BY

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Kant
Kushwaha
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Date: 2023.09.15
15:57:47 +05'30'

Ramakant Kushwaha
(MANAGING DIRECTOR)